 <b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			<b>Docket No.</b> 114309-1007
Re Application Of: <b>Gerald H rn</b>			
<b>Serial No.</b> 09/854,414	<b>Filing Date</b> May 10, 2001	<b>Examiner</b> Z. Fay	<b>Group Art Unit</b> 1614
<b>Title: OPTHALMIC FORMULATIONS COMPRISING IMIDAZOLINE</b>			
<p style="text-align: center;">Address to: <b>Commissioner for Patents</b> <b>P.O. Box 1450</b> <b>Alexandria, VA 22313-1450</b></p> <p style="text-align: center;"><b>37 CFR 1.97(b)</b></p> <p>1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;"><b>37 CFR 1.97(c)</b></p> <p>2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;"><b>OR</b></p> <p style="margin-left: 40px;"><input checked="" type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>			

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
114309-1007

Re Applicant: **Gerald Horn**

Serial No.  
09/854,414

Filing Date  
May 10, 2001

Examiner  
Z. Fay

Group Art Unit  
1614

**OPHTHALMIC FORMULATIONS COMPRISING IMIDAZOLINE**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818**  
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Dated: **December 19, 2003**

**Robert M. Barrett**  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gerald Horn  
Appl. No.: 09/854,414  
Conf. No.: 7675  
Filed: May 10, 2001  
Title: OPHTHALMIC FORMULATIONS COMPRISING IMIDAZOLINE  
Art Unit: 1614  
Examiner: Z. Fay  
Docket No.: 114309-1007

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

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4,629,456	December 16, 1986	Edwards
4,834,727	May 30, 1989	Cope
4,906,613	March 6, 1990	Watkins
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5,895,654	April 20, 1999	Hartford

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
6,046,207	April 4, 2000	Meyer et al.
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Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

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Dated: December 19, 2003



**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
(Use several sheets if necessary)

PTO Form 1449

Atty Docket No. 114309-1007	Application No. 09/854,414
Applicant Gerald Horn	
Filing Date May 10, 2001	Group 1614

**U.S. PATENT DOCUMENTS**

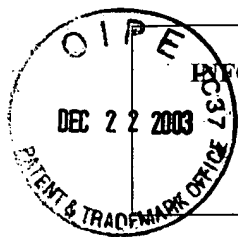
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	4,515,295	05-07-85	Dougherty			
	4,629,456	12-16-86	Edwards			
	4,834,727	05-30-89	Cope			
	4,906,613	03-06-90	Watkins			
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**FOREIGN PATENT DOCUMENTS**

Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No

Examiner's Initials	<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>
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Examiner:	Date Considered:
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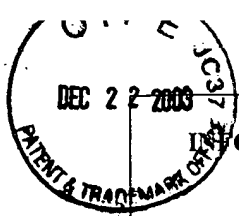
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✓	Soli, M., et al., <i>Vasoactive Cocktails for Erectile Dysfunction: Chemical Stability of PGE1, Papaverine and Phentolamine</i> , The Journal of Urology, August 1998, Vol. 160, pp. 551-555.

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✓	Tu, Y.H., et al., <i>Stability of papaverine hydrochloride and phentolamine mesylate in injectable mixtures</i> , American Journal of Hospital Pharmacy, Vol. 44, November 1987, pp. 2524-2527.
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